

FORM PTO-1449  
Commerce  
(Modified)

U.S. Department of  
Patent and Trademark Office

Attorney Docket No.: GALA-06415

Serial No.: 09/897,006

**INFORMATION AND ASSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

Applicant: Bleck

Filing Date: 06/29/01

Group Art Unit: 1636

(37 CFR § 1.98(b))

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
mm	88	WO99/14310		PCT				
mm	89	WO 93/03143		PCT				
	90	EP 117058						
mm	91	WO 92/01070		PCT				
mm	92	WO 93/03769		PCT				
mm	93	WO 90/03430		PCT				
mm	94	WO 87/00195		PCT				
mm	95	WO 99/43795		PCT				
mm	96	WO 93/02108		PCT				
mm	97	WO 94/24870		PCT				
mm	98	WO 96/11013		PCT				
mm	99	WO 94/05786		PCT				

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mm	100	Mielke <i>et al.</i> , Biochem. 35:2239-52 [1996]	
mm	101	Schroder and Friedl, Biotech. Bioeng. 53(6):547-59 [1997]	
mm	102	Weidle <i>et al.</i> , Gene 66:193-203 [1988]	
mm	103	McBurney <i>et al.</i> , Somatic Cell Molec. Genet. 20:529-40 [1994]	
mm	104	Allen <i>et al.</i> , Plant Cell 5:603-13 [1993]	
mm	105	Mehtali <i>et al.</i> , Gene 91:179-84 [1990]	
mm	106	Krickler <i>et al.</i> , Proc. Natl. Acad. Sci. 89:1075-79 [1992]	
mm	107	Dorer and Henikoff, Cell 77:993-1002 [1994]	
mm	108	Anderson and Young, Quantitative Filter Hybridization, in <i>Nucleic Acid Hybridization</i> [1985]	
mm	109	Sambrook, J. <i>et al.</i> , <i>Molecular Cloning: A Laboratory Manual</i> , 2nd ed., Cold Spring Harbor Laboratory Press, New York (1989) pp.16.9-16.15	
mm	110	Maniatis <i>et al.</i> , Science 236:1237 [1987]	
mm	111	Voss <i>et al.</i> , Trends Biochem. Sci., 11:287 [1986]	
mm	112	Dijkema <i>et al.</i> , EMBO J. 4:761 [1985]	

Examiner:

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Date Considered:

4/17/03

AMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-144 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GALA-06415		Serial No.: 09/897,006	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Bleck			
				Filing Date: 06/29/01		Group Art Unit: 1636	
(37 CFR § 1.98(b))							
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
mm	113	✓	Uetsuki <i>et al.</i> , J. Biol. Chem., 264:5791 [1989]				
mm	114	✓	Kim <i>et al.</i> , Gene 91:217 [1990]				
mm	115	✓	Mizushima and Nagata, Nuc. Acids. Res., 18:5322 [1990]				
mm	116	✓	Gorman <i>et al.</i> , Proc. Natl. Acad. Sci. USA 79:6777 [1982]				
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mm	118	✓	Sambrook <i>et al.</i> , <i>Molecular Cloning: A Laboratory Manual</i> , 2nd ed., Cold Spring Harbor Laboratory Press, New York [1989], pp. 16.7-16.8				
mm	119	✓	Zwizinski <i>et al.</i> , J. Biol. Chem. 255(16): 7973-77 [1980]				
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mm	122	✓	Shelling and Smith, Gene Therapy 1:165-169 [1994]				
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mm	138	✓	Köhler and Milstein, Nature 256:495-497 [1975]				
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Examiner: <i>mm</i>			Date Considered: 4/17/03				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GALA-06415	Serial No.: 09/897,006
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Bleck	
				Filing Date: 06/29/01	Group Art Unit: 1636
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)					
mm	146	✓	Evans <i>et al.</i> , <i>Handbook of Plant Cell Culture</i> , 1: 124-176, MacMillan Publishing Co., New York [1983]		
mm	147	✓	Binding, <i>Plant Protoplasts</i> , p. 21-37, CRC Press, Boca Raton [1985]		
mm	148	✓	Potrykus and Shillito, <i>Methods in Enzymology</i> , Vol. 118, Plant Molecular Biology, A. and H. Weissbach eds., Academic Press, Orlando [1986]		
mm	149	✓	Hoess <i>et al.</i> , <i>Nucleic Acids Res.</i> 14:2287-2300 [1986]		
mm	150	✓	O'Gorman <i>et al.</i> , <i>Science</i> 251:1351-55 [1991]		
mm	151	✓	van Deursen <i>et al.</i> , <i>Proc. Natl. Acad. Sci. USA</i> 92:7376-80 [1995]		
mm	152	✓	Miller and Baltimore <i>Mol. Cell. Biol.</i> 6:2895 [1986]		
mm	153	✓	Markowitz <i>et al.</i> , <i>J. Virol.</i> 62:1120 [1988]		
mm	154	✓	Miller <i>et al.</i> , <i>Mol. Cell. Biol.</i> 10:4239 [1990]		
mm	155	✓	Miller and Rosman, <i>BioTechniques</i> 7: 980 [1980]		
mm	156	✓	Miller, <i>Nature</i> 357: 455 [1990]		
mm	157	✓	Adams <i>et al.</i> , <i>Proc. Natl. Acad. Sci. USA</i> 89:8981 [1992]		
mm	158	✓	Mastromarino <i>et al.</i> , <i>J. Gen. Virol.</i> 68:2359 [1977]		
mm	159	✓	Burns <i>et al.</i> <i>Proc. Natl. Acad. Sci. USA</i> 90:8033 [1993]		
mm	160	✓	Roe <i>et al.</i> , <i>EMBO J.</i> 12:2099 [1993]		
mm	161	✓	Naldini <i>et al.</i> , <i>Science</i> 272:263 [1996]		
mm	162	✓	Carter, B.J., <i>Current Opinion in Biotechnology</i> 3:533-539 [1992]		
mm	163	✓	de la Cruz <i>et al.</i> , <i>J. Bact.</i> 175: 6932-38 [1993]		
mm	164	✓	Craig, <i>Curr. Topics Microbiol. Immunol.</i> 204: 27-48 [1996]		
mm	165	✓	Morisato and Kleckner, <i>Cell</i> 51:101-111 [1987]		
mm/mm	166	✓	Cleveland <i>et al.</i> , <i>J. Immunol. Methods</i> (1983)56:221-234 [1983] <i>Animal Cell Culture: A Practical Approach 2nd Ed.</i> , Rickwood, D. and Hames, B. D., eds. Oxford University Press, New York [1992]		
mm	167	✓	Wilson <i>et al.</i> , <i>Cell</i> , 37:767 [1984]		
mm	168	✓	Schroeder and Neagle, <i>J. Biomol. Screening</i> 1:75-80 [1996]		
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mm	170	✓	Gonzales <i>et al.</i> , <i>Drug. Discov. Today</i> 4:431-39 [1999]		
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Examiner: <i>M. M. M. M.</i>			Date Considered: 4/17/03		
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

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Attorney Docket No.: GALA-06415

Serial No.: 09/897,006

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Separate Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Bleck

Filing Date: 06/29/01

Group Art Unit: 1636

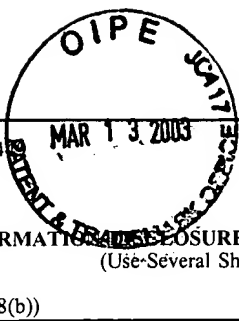
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mm	173	✓	Quade, Virol. 98:461 [1979]
mm	174	✓	Yee <i>et al.</i> , Meth. Cell Biol. 43:99 [1994]
mm	175	✓	Chen and Okayama (Mol. Cell. Biol., 7:2745, 1987)
mm	176	✓	Shillito, <i>et al.</i> , Plant Cell Reports, 2, 244-247 (1983)
	177		Harlow and Lane, <i>Antibodies: A Laboratory Manual</i> , Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY <sup>copyright page 1</sup> cover page (2)
mm	178	✓	Brun <i>et al.</i> , Intervirology, 38:274 (1995)
mm	179	✓	Masters <i>et al.</i> , Virol. 171:285 (1990)
mm	180	✓	Mebatsion <i>et al.</i> , J. Virol. 69:1444 (1995)
mm	181	✓	Scheper <i>et al.</i> , Biochem. 76: 801-809 [1994]
mm	182	✓	Meyer <i>et al.</i> , J. Virol. 69: 2819-2824 [1995]
mm	183	✓	Jang <i>et al.</i> , 1988, J. Virol. 62: 2636-2643 [1998]
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mm	185	✓	Lebkowski <i>et al.</i> , Molec. Cell. Biol. 8:3988-3996 [1988]
mm	186	✓	Vincent <i>et al.</i> , Vaccines 90 [1990]
mm	187	✓	Carter, Current Opinion in Biotechnology 3:533-539 [1992]
mm	188	✓	Muzyczka, Current Topics in Microbiol. and Immunol. 158:97-129 [1992];
mm	189	✓	Rogers <i>et al.</i> , Strategies 13:97-99 (2000)
mm	190	✓	Felts <i>et al.</i> , Strategies 13:15-18 (2000)
mm	191	✓	Felts <i>et al.</i> , Strategies 12:74-77 (1999)
mm	192	✓	Poul <i>et al.</i> , Immunotechnology, Elsevier Science Publishers BV, NL 1:189-196 (1995)
mm	193	✓	Zufferey <i>et al.</i> , J. of Virology 73:2886-2892 (1999)
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Sheet 1 of 7

FORM PTO-148  
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Attorney Docket No.: GALA-06415

Serial No.: 09/895,066

INFORMATIONAL DISCLOSURE STATEMENT BY APPLICANT  
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Applicant: Bleck

M. Marich

Filing Date: 06/29/01

Group Art Unit: 1635/2800

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
mm	1	5,721,121	2/24/98	Etcheverry <i>et al.</i>	435	69.7	6/6/95
mm	2	5,914,267	6/22/99	Mertz <i>et al.</i>	435	320.1	7/2/97
mm	3	5,686,120	11/11/97	Mertz <i>et al.</i>	435	320.1	5/22/95
mm	4	6,136,597	10/24/2000	Hope <i>et al.</i>	435	325	9/18/97
mm	5	5,994,136	11/30/99	Naldini <i>et al.</i>	435	455	12/21/97
mm	6	6,013,516	1/11/2000	Verma <i>et al.</i>	435	325	10/6/95
mm	7	6,027,722	2/22/2000	Hodgson	424	93.21	3/14/94
mm	8	5,958,775	9/28/99	Wickstrom <i>et al.</i>	435	455	7/23/98
mm	9	5,968,785	10/19/99	Devine <i>et al.</i>	435	91.41	2/6/97
mm	10	5,965,443	10/12/99	Reznikoff <i>et al.</i>	435	473	9/9/96
mm	11	5,719,055	2/17/98	Cooper	435	32-1	6795
mm	12	5,173,414	12/22/92	Lebkowski <i>et al.</i>	435	172.3	10/30/90
mm	13	5,225,347	7/6/93	Goldberg <i>et al.</i>	435	320.1	3/19/90
mm	14	6,034,228	3/7/2000	Norris <i>et al.</i>	536	23.1	6/25/99
mm	15	6,030,822	2/29/2000	Lechner <i>et al.</i>	435	194	6/2/95
mm	16	6,030,788	2/29/2000	Gerhold	435	6	2/10/99
mm	17	6,020,306	2/1/2000	Boyd <i>et al.</i>	514	2	9/18/96
mm	18	6,013,455	1/11/2000	Bandman <i>et al.</i>	435	6	10/15/98
mm	19	6,013,464	1/11/2000	Abo <i>et al.</i>	435	15	8/22/97
mm	20	6,015,807	1/18/2000	Engel <i>et al.</i>	514	183	11/7/97
mm	21	5,976,853	11/2/99	Guthridge <i>et al.</i>	435	196	3/21/97
mm	22	5,994,074	11/30/99	Beach <i>et al.</i>	435	6	5/9/97
mm	23	6,004,791	12/21/99	Aoki <i>et al.</i>	435	194	10/16/97
mm	24	5,981,251	11/9/99	Ullrich <i>et al.</i>	435	196	5/23/95
mm	25	5,976,852	11/2/99	Cheng <i>et al.</i>	435	196	12/19/96
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mm	27	5,955,592	9/21/99	Ullrich <i>et al.</i>	536	23.2	4/27/98
mm	28	5,952,212	9/14/99	Moller <i>et al.</i>	435	194	5/24/95
mm	29	4,946,778	8/7/90	Ladner <i>et al.</i>	435	69.6	1/19/89
mm	30	6,074,859	6/13/2000	Hirokawa <i>et al.</i>	435	189	7/8/98
mm	31	5,976,796	11/2/99	Szalay <i>et al.</i>	435	6	12/23/96
mm	32	5,674,713	10/7/97	McElroy <i>et al.</i>	435	69.7	6/2/95
mm	33	5,618,682	4/8/97	Scheirer	435	8	2/8/94

Examiner:

M. Marich

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4/17/03

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Group Art Unit

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
mm	34	5,627,058	5/6/97	Ruley <i>et al.</i>	435	172.3	7/27/94
mm	35	4,937,190	6/26/90	Palmenberg <i>et al.</i>	435	69.1	10/15/87
mm	36	5,168,062	12/1/92	Stinski	435	240.2	9/10/90
mm	37	5,385,839	1/31/95	Stinski	435	240.2	6/17/92
mm	38	5,858,740	1/12/99	Finer <i>et al.</i>	435	172.3	5/10/95
mm	39	5,948,675	9/7/99	Klatzmann <i>et al.</i>	435	320.1	10/4/96
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mm	41	6,255,071	7.3/01	Beach <i>et al.</i>	435	69.1	3/19/97
mm	42	6,333,195	12/24/01	Respass <i>et al.</i>	435	456	1/7/2000
mm	43	6,410,316	5/25/02	Sheridan <i>et al.</i>	435	320.1	3/16/2000
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mm	45	4,816,567	3/28/89	Cabilly <i>et al.</i>	530	387	4/8/83
mm	46	6,319,707	11/20/01	Adam <i>et al.</i>	435	320.1	1/26/93
mm	47	6,368,862	4/9/02	Palmer <i>et al.</i>	435	455	3/4/92
mm	48	4,937,190	6/26/90	Palmenberg <i>et al.</i>	435	69.1	10/15/87
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mm	51	5,686,279	11/11/97	Finer <i>et al.</i>	435	172.3	6/10/94
mm	52	5,817,491	10/6/98	Yee <i>et al.</i>	435	172.3	12/22/94
	53	5,591,624	1/7/97	Barber <i>et al.</i>	435	240.2	11/23/93
	54	5,716,832	2/10/98	Barber <i>et al.</i>	435	172.3	6/5/95
	55	6,080,912	6/27/2000	Bremel <i>et al.</i>	800	23	3/20/97
	56	6,291,740	9/18/01	Bremel <i>et al.</i>	800	23	6/10/99
	57	5,215,904	6/1/93	Gould <i>et al.</i>	435	172.3	1/27/89
mm	58	5,922,601	7/13/99	Baetscher <i>et al.</i>	435	456	9/16/96
mm	59	4,677,066	6/30/87	Takahashi <i>et al.</i>	435	172.2	12/23/83
mm	60	5,149,645	9/22/92	Hoekema <i>et al.</i>	435	172.3	12/5/89
mm	61	5,508,184	4/16/96	Negrutiu <i>et al.</i>	435	172.3	2/27/90
mm	62	5,914,267	6/22/99	Mertz <i>et al.</i>	435	320.1	7/2/97
mm	63	6,025,192	2/15/2000	Beach <i>et al.</i>	435	320.1	9/20/96
mm	64	5,512,421	4/30/96	Burns <i>et al.</i>	435	320.1	8/10/93
mm	65	5,994,136	11/30/99	Naldini <i>et al.</i>	435	455	12/12/97

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Date Considered:

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U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
MM	66	6,187,287	2/13/01	Leung <i>et al.</i>	424	9.1	8/3/98
MM	67	5,139,941	8/18/92	Myzyczka <i>et al.</i>	435	172.3	10/25/91
MM	68	5,843,742	12/1/98	Natsoulis <i>et al.</i>	435	172.3	9/8/95
MM	69	5,866,400	2/2/99	Palsson <i>et al.</i>	435	235.1	3/21/97
MM	70	4,767,704	8/30/88	Cleveland <i>et al.</i>	435	68	10/7/83
MM	71	4,657,866	4/14/87	Kumar	435	240	9/13/84
MM	72	4,927,762	5/22/90	Darfler	435	240.31	11/30/88
MM	73	5,122,469	6/16/92	Mather <i>et al.</i>	435	240.2	10/3/90
MM	74	4,560,655	12/24/85	Baker	435	241	12/16/82
MM	75	5,670,113	9/23/97	Akong <i>et al.</i>	422	63	6/20/94
MM	76	5,807,689	9/15/98	Daggett <i>et al.</i>	435	78	6/6/95
MM	77	5,876,946	3/2/99	Burbaum <i>et al.</i>	435	7.1	6/3/97
MM	78	6,027,875	2/22/2000	Weinberger	435	6	12/20/91
MM	79	5,512,443	4/30/96	Schlom <i>et al.</i>	435	7.23	7/2/90
MM	80	5,993,813	11/30/99	Mezes <i>et al.</i>	424	133.1	3/24/97
MM	81	5,892,019	4/6/99	Schlom <i>et al.</i>	536	23.53	9/1/94
MM	82	5,874,540	2/23/99	Hensen <i>et al.</i>	530	387.3	10/5/94
MM	83	5,850,000	12/15/98	Bleck <i>et al.</i>	800	2	3/22/96
MM	84	5,686,120	11/11/97	Mertz <i>et al.</i>	435	320.1	5/22/95
MM	85	2001/0018203		Iba <i>et al.</i>	435	235.1	3/8/2001
MM	86	2001/0043921		Gunzburg <i>et al.</i>	424	93.21	12/29/2000
MM	87	2002/0034393		Mitrophanous	396	661	5/18/2001
Examiner: <i>MMassich</i>				Date Considered: <i>4/17/02</i>			
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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